## CS 170 Homework 8

Due $3 / 18 / 2024$, at 10:00 pm (grace period until 11:59pm)

## 1 Study Group

List the names and SIDs of the members in your study group. If you have no collaborators, you must explicitly write "none".

## 2 Faster Longest Increasing Subsequence

Recall the dynamic programming algorithm for LIS from lecture. It has the recurrence,

$$
L[i]=\max _{j<i: A[j]<A[i]} L[j]+1
$$

where $L[i]$ is the length of the longest increasing subsequence that includes and ends at $A[i]$. Using DP to compute all the $L[i]^{\prime}$ 's takes $O\left(N^{2}\right)$ time, where $N$ is the length of the array $A$. In this problem, we will see how to reformulate the problem so that we can use binary search to obtain a $O(N \log N)$ time algorithm.

Consider the following subproblem definition:

$$
M_{i}[j]=\text { the smallest element that ends any subsequence of length } j \text { for } A[1 \ldots i]
$$

where $M_{i}$ is 1-indexed.
We can set $M_{i}[k]=\infty$ if no increasing subsequence of length $k$ exists in $A[1 \ldots i]$.
(a) Given the following array of length 10 , compute the values of $M_{8}$. Recall that $M_{8}$ only considers the elements $A[1 \ldots 8]$. What is the length of the LIS of $A[1 \ldots 8]$, and what is the last element of the LIS?

| 5 | 3 | 7 | 4 | 1 | 2 | 5 | 7 | 8 | 3 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |

(b) Show that $M_{i}$ is a strictly increasing array, i.e. that $M_{i}[j]<M_{i}[j+1]$ for all $j=$ $1, \ldots, N-1$.

Hint: Suppose there exists some $j$ such that $M_{i}[j] \geq M_{i}[j+1]$, and show that this implies a contradiction.
(c) Given the same array as part (a), compute $M_{10}$.
(d) Let $j$ be the smallest index such that $M_{i}[j] \geq A[i+1]$. Prove that the length of the LIS ending on $A[i+1]$ is $j$.

Hint: Use the result from (b) to show that there exists a length $j$ increasing subsequence ending on $A[i+1]$, and that there exist no longer increasing subsequence.
(e) Now show that only one element differs between $M_{i}$ and $M_{i+1}$. Recall that $M_{i}$ only accounts for $A[1 \ldots i]$, so we are trying to prove $M_{i+1}$ can be computed for $A[1 \ldots i+1]$ by taking $M_{i}$ and modifying one element.
(f) Now combining the previous subparts, write pseudocode that finds the longest increasing subsequence of an array $A$ in $O(N \log N)$ time.

Hint: a naive implementation using the 2D subproblem $M_{i}[j]$ would still yield a runtime of $O\left(N^{2}\right)$. To achieve the $O(N \log N)$ runtime, you only need to store a single $1 D$ array M. Then, efficiently update $M$ by using previous subparts.

## 3 Max Independent Set Again

You are given a connected tree $T$ with $n$ nodes and a designated root $r$, where every vertex $v$ has a weight $W[v]$. A set of nodes $S$ is a $k$-independent set of $T$ if $|S|=k$ and no two nodes in $S$ have an edge between them in $T$. The weight of such a set is given by adding up the weights of all the nodes in $S$, i.e.

$$
W(S)=\sum_{v \in S} W[v] .
$$

Given an integer $k \leq n$, your task is to find the maximum possible weight of any $k$ independent set of $T$. We will first tackle the problem in the special case that $T$ is a binary tree, and then generalize our solution to a general tree $T$.
(a) Assume that $T$ is a binary tree, i.e. every node has at most 2 children. Describe an $O\left(n k^{2}\right)$ algorithm that solves this special case, and analyze its runtime. Proof of correctness and space complexity analysis are not required.
(b) Now, consider any arbitrary tree $T$, with no restrictions on the number of children per node. Describe how we can add up to $O(n)$ "dummy" nodes (i.e. nodes with weight 0 ) to $T$, as well as some edges, to convert it into a binary tree $T_{b}$.
(c) Describe an $O\left(n k^{2}\right)$ algorithm to solve the general case (i.e. when $T$ is any arbitrary tree), and analyze its runtime. Proof of correctness and space complexity analysis are not required.

Hint: there exists two ways (known to us) to solve this. One way is to combine parts (a) and (b), and then modify the recurrence to account for the dummy nodes. The other way involves 3D dynamic programming, in which you directly extend your recurrence from part (a) to iterate across vertices' children. We recommend the first way as it may be easier to conceptualize, but in the end it is up to you!

## 4 Canonical Form LP

Recall that any linear program can be reduced to a more constrained canonical form where all variables are non-negative, the constraints are given by $\leq$ inequalities, and the objective is the maximization of a cost function.

More formally, our variables are $x_{i}$. Our objective is $\max c^{\top} x=\max \sum_{i} c_{i} x_{i}$ for some constants $c_{i}$. The $j$ th constraint is $\sum_{i} a_{i j} x_{i} \leq b_{j}$ for some constants $a_{i j}, b_{j}$. Finally, we also have the constraints $x_{i} \geq 0$.

An example canonical form LP:

$$
\begin{gathered}
\text { maximize } 5 x_{1}+3 x_{2} \\
\text { subject to }\left\{\begin{array}{l}
x_{1}+x_{2}-x_{3} \leq 1 \\
-\left(x_{1}+x_{2}-x_{3}\right) \leq-1 \\
-x_{1}+2 x_{2}+x_{4} \leq 0 \\
-\left(-x_{1}+2 x_{2}+x_{4}\right) \leq 5 \\
x_{1}, x_{2}, x_{3}, x_{4} \geq 0
\end{array}\right.
\end{gathered}
$$

For each of the subparts below, describe how we should modify it to so that it satisfies canonical form. If it is impossible to do so, justify your reasoning.

Note that the subparts are independent of one another. Also, you may assume that variables are non-negative unless otherwise specified.
(a) Min Objective: $\min \sum_{i} c_{i} x_{i}$
(b) Lower Bound on Variable: $x_{1} \geq b_{1}$
(c) Bounded Variable: $b_{1} \leq x_{1} \leq b_{2}$
(d) Equality Constraint: $x_{2}=b_{2}$
(e) More Equality Constraint: $x_{1}+x_{2}+x_{3}=b_{3}$
(f) Absolute Value Constraint: $\left|x_{1}+x_{2}\right| \leq b_{2}$ where $x_{1}, x_{2} \in \mathbb{R}$
(g) Another Absolute Value Constraint: $\left|x_{1}+x_{2}\right| \geq b_{2}$ where $x_{1}, x_{2} \in \mathbb{R}$
(h) Min Max Objective: $\min \max \left(x_{1}, x_{2}, x_{3}, x_{4}\right)$

Hint: use a dummy variable!

## 5 Baker

You are a baker who sells batches of brownies and cookies (unfortunately no brookies... for now). Each brownie batch takes 4 kilograms of chocolate and 2 eggs to make; each cookie batch takes 1 kilogram of chocolate and 3 eggs to make. You have 80 kilograms of chocolate and 90 eggs. You make a profit of 60 dollars per brownie batch you sell and 30 dollars per cookie batch you sell, and want to figure out how many batches of brownies and cookies to produce to maximize your profits.
(a) Formulate this problem as a linear programming problem; in other words, write a linear program (in canonical form) whose solution gives you the answer to this problem. Draw the feasible region, and find the solution using Simplex.
(b) Suppose instead that the profit per brownie batch is $P$ dollars and the profit per cookie batch remains at 30 dollars. For each vertex you listed in the previous part, give the range of $P$ values for which that vertex is the optimal solution.

## 6 [Coding] Traveling Salesperson DP

For this week's coding questions, we'll implement Dynamic Programming algorithm for the Traveling Salesperson problem you saw in lecture. There are two ways that you can access the notebook and complete the problems:

1. On Datahub: click here and navigate to the hw08 folder.
2. On Local Machine: git clone (or if you already cloned it, git pull) from the coding homework repo,
https://github.com/Berkeley-CS170/cs170-sp24-coding
and navigate to the hw08 folder. Refer to the README.md for local setup instructions.
Notes:

- Submission Instructions: Please download your completed submission .zip file and submit it to the Gradescope assignment titled "Homework 8 Coding Portion".
- Getting Help: Conceptual questions are always welcome on Edstem and office hours; note that support for debugging help during $O H$ will be limited. If you need debugging help first try asking on the public Edstem threads. To ensure others can help you, make sure to:

1. Describe the steps you've taken to debug the issue prior to posting on Ed.
2. Describe the specific error you're running into.
3. Include a few small but nontrivial test cases, alongside both the output you expected to receive and your function's actual output.

If staff tells you to make a private Ed post, make sure to include all of the above items plus your full function implementation. If you don't provide them, we will ask you to provide them.

- Academic Honesty Guideline: We realize that code for some of the algorithms we ask you to implement may be readily available online, but we strongly encourage you to not directly copy code from these sources. Instead, try to refer to the resources mentioned in the notebook and come up with code yourself. That being said, we do acknowledge that there may not be many different ways to code up particular algorithms and that your solution may be similar to other solutions available online.

